

Substitute for form 1449A (Rev. 4-2001)

OCT 27 2004

SC:9

U.S. PATENT &amp; TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1 of 4

Complete If Known	
Application Number	10/814,999
Filing Date	March 31, 2004
First Named Inventor	David Auerbach, et al.
Art Unit	2142
Examiner Name	Unknown
Attorney Docket Number	53051/297281

Substitute for form 1449B/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/814,999
(Use as many sheets as necessary)				Filing Date	March 31, 2004
				First Named Inventor	David Auerbach, et al.
				Art Unit	2142
				Examiner Name	Unknown
Sheet	2	of	4	Attorney Docket Number	53051/297281

<b>NON PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
GJM	9	80-20 Software – Products – 80-20 One Search, <a href="http://www.80-20.com/products/one-search/retriever.asp">http://www.80-20.com/products/one-search/retriever.asp</a> , printed 3/16/2004.		T <sup>2</sup>
GJM	10	"askSam™ Making Information Useful," askSam, - Organize your information with askSam, <a href="http://www.asksam.com/brochure.asp">http://www.asksam.com/brochure.asp</a> , printed 3/15/2004.		
GJM	11	Alexa® Web Search – Toolbar Quick Tour, <a href="http://pages.alexa.com/prod_serv/quicktour.html">http://pages.alexa.com/prod_serv/quicktour.html</a> , pp. 1-5, printed 3/16/2004.		
GJM	12	BARRETT, R. et al., "How to Personalize the Web," IBM Research, <a href="http://www.almaden.ibm.com/cs/wbl/papers/chi97/whipaper.html">http://www.almaden.ibm.com/cs/wbl/papers/chi97/whipaper.html</a> , pp. 1-13, printed 3/16/2004.		
GJM	13	BATTELLE, J., CNN.com "When geeks go camping, ideas hatch," <a href="http://www.cnn.com/2004/TECH/plech/01/09/bus2.feat.geek.camp/index.html">http://www.cnn.com/2004/TECH/plech/01/09/bus2.feat.geek.camp/index.html</a> , pp. 1-3, printed 1/13/2004.		
GJM	14	BOYAN, J., et al., "A Machine Learning Architecture for Optimizing Web Search Engines," School of Computer Science, Carnegie Mellon University, May 10, 1996, pp. 1-8.		
GJM	15	BRADENBAUGH, F., "Chapter 1 The Client-Side Search Engine," <i>JavaScript Cookbook</i> , 1 <sup>st</sup> Ed., October 1999, O'REILLY™ Online Catalog, <a href="http://www.oreilly.com/catalog/iscook/chapter/ch01.html">http://www.oreilly.com/catalog/iscook/chapter/ch01.html</a> , pp. 1-30, printed 12/29/2003.		
GJM	16	BRIN, S., et al, "The Anatomy of a Large-Scale Hypertextual Web Search Engine," <a href="http://www7.scu.edu.au/programme/fullpapers/1921/com1921.htm">http://www7.scu.edu.au/programme/fullpapers/1921/com1921.htm</a> , pp. 1-18, 1998.		
GJM	17	BUDZIK, J., et al., "User Interactions with Everyday Applications as Context for Just-in-time Information Access, Intelligent Information Laboratory, Northwestern University, pp. 1-8, no date.		
GJM	18	DEVONthink, <a href="http://www.devon-technologies.com/products/devonthink.php">http://www.devon-technologies.com/products/devonthink.php</a> , printed 3/16/2004		
GJM	19	dtSearch® – <a href="http://www.dtsearch.com/">http://www.dtsearch.com/</a> , printed 3/15/2004.		

Examiner Signature	<i>David Auerbach</i>	Date Considered	09/06/2006
--------------------	-----------------------	-----------------	------------

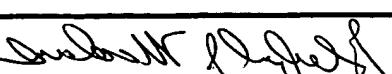
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Substitute for form 1449B/PTO				Complete If Known	
				Application Number	10/814,999
				Filing Date	March 31, 2004
				First Named Inventor	David Auerbach, et al.
				Art Unit	2142
				Examiner Name	Unknown
Sheet	3	of	4	Attorney Docket Number	53051/297281

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
GJM	20	DUMAIS, S., et al., "Stuff I've Seen: A System for Personal Information Retrieval and Re-Use," Microsoft Research, SIGIR'03, July 28-August 1, 2003, pp. 1-8.			
GJM	21	Enfish, <a href="http://www.enfish.com">http://www.enfish.com</a> , printed 3/16/2004.			
GJM	22	Fast Search & Transfer - Home - Enterprise Search, <a href="http://solutions.altavista.com/en/news/pr_020402_desktop.shtml">http://solutions.altavista.com/en/news/pr_020402_desktop.shtml</a> , printed 3/16/2004.			
GJM	23	FERTIG, S., et al., "Lifestreams: An Alternative to the Desktop Metaphor," <a href="http://www.acm.org/sigchi/chi96/proceedings/videos/Fertig/etf.htm">http://www.acm.org/sigchi/chi96/proceedings/videos/Fertig/etf.htm</a> , pp. 1-3, printed 3/16/2004.			
GJM	24	GEISLER, G., "Enriched Links: A Framework for Improving Web Navigation Using Pop-Up Views," pp.1-14, 2000.			
GJM	25	ISYS Search Software - ISYS: desktop, <a href="http://www.isvsusa.com/products/desktop/index.html">http://www.isvsusa.com/products/desktop/index.html</a> , printed 3/16/2004.			
GJM	26	JOACHIMS, T., et al., "WebWatcher: A Tour Guide for the World Wide Web," 1996.			
GJM	27	MARKOFF, J., "Google Moves Toward Clash with Microsoft," <i>The New York Times</i> , May 19, 2004, <a href="http://www.nytimes.com/2004/5/19/technology/19google.html?ex=1085964389&amp;ei=1&amp;e...">http://www.nytimes.com/2004/5/19/technology/19google.html?ex=1085964389&amp;ei=1&amp;e...</a> , pp. 1-4, printed 5/19/2004.			
GJM	28	NARAIN, R., "Future of Search Will Make You Dizzy," Enterprise, May 20, 2004, <a href="http://www.internetnews.com/ent-news/article.php/3356831">http://www.internetnews.com/ent-news/article.php/3356831</a> , pp. 1-4, printed 5/21/2004			
GJM	29	"Overview," Stuff I've Seen - Home Page, <a href="http://research.Microsoft.com/adapt/sis/index.htm">http://research.Microsoft.com/adapt/sis/index.htm</a> , pp. 1-2, printed 5/26/2004.			
GJM	30	RHODES, B., "Margin Notes Building a Contextually Aware Associative Memory," <i>The Proceedings of the International Conference on Intelligent User Interfaces (IUI'00)</i> , January 9-12, 2000.			
GJM	31	RHODES, B., et al., "Just-in-time information retrieval agents," <i>Systems Journal</i> , Vol. 39, Nos. 3&4, 2000, pp. 685-704.			
GJM	32	RHODES, B., et al., "Remembrance Agent - A continuously running automated information retrieval system," <i>The Proceedings of the First International Conference on the Practical Application of Intelligent Agents and Multi Agent Technology (PAAM '96)</i> , pp. 487-495.			

Examiner Signature		Date Considered	09/06/2006
--------------------	---	-----------------	------------

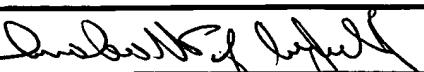
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449B/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<i>Application Number</i>	10/814,999
<i>(Use as many sheets as necessary)</i>				<i>Filing Date</i>	March 31, 2004
				<i>First Named Inventor</i>	David Auerbach, et al.
				<i>Art Unit</i>	2142
				<i>Examiner Name</i>	Unknown
Sheet	4	of	4	<i>Attorney Docket Number</i>	53051/297281

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
GJM	33	RIZZO, T., "WinFS 101: Introducing the New Windows File System," Longhorn Developer Center Home: Headline Archive: WinFS 101: Introducing the New..., <a href="http://msdn.Microsoft.com/Longhorn/archive/default.aspx?coll=/library/en-us/dnwinfs/htm...">http://msdn.Microsoft.com/Longhorn/archive/default.aspx?coll=/library/en-us/dnwinfs/htm...</a> pp. 1-5, printed 4/21/2004.		T <sup>2</sup>
GJM	34	"Searching for the next Google – New trends are helping nimble startups elbow in to the plundered market," RED HERRING – The Business of Technology, March 9, 2004, <a href="http://redherring.com/PrintArticle.aspx?i=4782&amp;sector=Capital">http://redherring.com/PrintArticle.aspx?i=4782&amp;sector=Capital</a> , pg. 1-5, printed 3/30/2004.		
GJM	35	"Selecting Task-Relevant Sources for Just-In-Time Retrieval," pp. 1-3, no date.		
GJM	36	SHERMAN, C., "HotBot's New Desktop Search Toolbar," <a href="http://searchenginewatch.com/searchday/print.php/34711_339921">www.searchenginewatch.com</a> , <a href="http://searchenginewatch.com/searchday/print.php/34711_339921">http://searchenginewatch.com/searchday/print.php/34711_339921</a> , pp. 1-3, printed 4/14/2004.		
GJM	37	"Standardization Priorities for the Directory – Directory Interoperability Forum White Paper," The Open Group, December 2001, pp. 1-21.		
GJM	38	SULLIVAN, D., "Alta Vista Releases Search Software," <i>The Search Engine Report</i> , August 4, 1998, pp. 1-2.		
GJM	39	WebWatcher Home Page, "Welcome to the WebWatcher Project," <a href="http://www-2.cs.cmu.edu/~webwatcher/">http://www-2.cs.cmu.edu/~webwatcher/</a> , printed 10/15/2003.		
GJM	40	"WhenU Just-In-Time Marketing," <a href="http://www.whenu.com">http://www.whenu.com</a> , printed 3/19/2004.		
GJM	41	X1 instantly searches files & email. For outlook, Outlook, <a href="http://www.x1.com/">http://www.x1.com/</a> , printed 3/15/2004.		
GJM	42	ZELLWEGER, P., et al., "Fluid Links for Informed and Incremental Link Transitions," Proceedings of Hypertext'98, Pittsburgh, PA, June 20-24, 1998, pp.50-57.		

Examiner Signature		Date Considered	09/06/2006
--------------------	---	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.